

CONFLICT OF INTEREST CODE FOR BETA HEALTHCARE GROUP RISK MANAGEMENT AUTHORITY

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (Title 2 California Code of Regulations Section 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of Title 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of the BETA Healthcare Group Risk Management Authority ("BETARMA").

**CONFLICT OF INTEREST CODE FOR
BETA HEALTHCARE GROUP RISK MANAGEMENT AUTHORITY**

**APPENDIX A
DESIGNATED POSITIONS**

Key

A = Abolished (position no longer exists)

D = Deleted (position has been removed from the code – justification included)

M = Moved (position included in a different department)

N = New (position created since last code amendment)

NC = No Change

R = Renamed

CC = Category Changed (position assigned to a different category – justification included)

Designated Position	Assigned Disclosure Categories	Proposed Change	Justification
Chief Executive Officer	1	NC	
Chief Financial Officer	1	NC	
Vice President of Claims & Corporate Compliance	2, 3, 4, 6	NC	
Vice President of Data & Technology	2, 3, 4, 6	NC	
Vice President of People Operations	2, 4, 6	NC	
Vice President of Risk Management & Safety	2, 4, 5, 6	NC	
Vice President of Underwriting	2, 4, 5, 6	NC	
Senior Director of Claims, Professional Liability	2, 3, 4, 6	NC	

Designated Position	Assigned Disclosure Categories	Proposed Change	Justification
Senior Director of Claims, Workers' Compensation	2, 3, 4, 6	NC	
Senior Director of IT Operations	2, 3, 4, 6	CC	Added category 4
Director of Business Applications, Data & Technology	2, 3, 4, 6	CC	Added category 4
Senior Director of Risk Management & Patient Safety	2, 4, 5, 6	N	
Senior Director of Risk Management & Employee Safety	2, 4, 5, 6	N	
Senior Director of Underwriting Operations	2, 6	R	New title
Director of Underwriting, Professional Liability	2, 6	N	
Director of Underwriting, Workers' Compensation	2, 6	NC	
Director of Business Services, Workers' Compensation	2, 3, 4, 6	R	New title
General Counsel	1	NC	
Consultants/New Positions	*	NC	

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*Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure requirements in this conflict of interest code subject to the following limitation:

The CEO may determine in writing that a consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The CEO’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Government Code Section 81008)

The following positions are NOT covered by the code because they must file under Government Code Section 87200 and, therefore, are listed for informational purposes only:

Members of the BETA Council

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been incorrectly categorized. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

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APPENDIX B DISCLOSURE CATEGORIES

Disclosure Category 1: Designated employees in this category must disclose:

Investments, business positions in business entities, and sources of income (including gifts, loans and travel payments).

Disclosure Category 2: Designated employees in this category must disclose:

Investments, business positions in business entities and sources of income (including gifts, loans, and travel payments) from sources that provide services or supplies of the type to be utilized by the department or division in which the designated position works, and entities or persons who have filed claims against BETARMA or have claims pending against BETARMA. Such sources are including, but not limited to: financial services, loss control, legal, and insurance services.

Disclosure Category 3: Designated employees in this category must disclose:

Investments, business positions in business entities, and sources of income, (including gifts, loans and travel payments) from sources of the type that manufacture, distribute, supply, or install computer hardware or software of the type to be utilized by BETARMA, as well as entities providing computer consultant services.

Disclosure Category 4: Designated employees in this category must disclose:

Investments, business positions in business entities, and sources of income (including gifts, loans and travel payments) from sources of the type to provide communications and educational services or supplies of the type to be utilized by BETARMA.

Disclosure Category 5: Designated employees in this category must disclose:

Investments, business positions in business entities, and sources of income, (including gifts, loans and travel payments) from sources of the type to provide in printing or reproduction services, publications, or distribution of the type to be utilized by BETARMA.

Disclosure Category 6: Designated employees in this category must disclose:

Investments and business positions in business entities, and sources of income, (including gifts, loans and travel payments) which are insurance companies, carriers, holding companies, underwriters, lawyers, agents, solicitors, or brokers.